(19) World Intellectual Property **Organization**

International Bureau



T CORDIN ANNARUM IN CORDINA HArin arang arang kina tahun anda dara anda anda arang arang birak kina arang kina

(43) International Publication Date 5 August 2004 (05.08.2004)

(10) International Publication Number WO 2004/065530 A3

- (51) International Patent Classification7: C10M 159/12. 145/20, 149/14, 129/54, 133/12, 133/14, 129/14, 133/02
- (21) International Application Number:

PCT/US2004/001442

- (22) International Filing Date: 21 January 2004 (21.01.2004)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/441,516

21 January 2003 (21.01.2003) US

- (71) Applicant (for all designated States except US): THE LU-BRIZOL CORPORATION [US/US]; 29400 Lakeland Boulevard, Wickliffe, OH 44092-2298 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): CRESSEY, David [GB/GB]; 27 Bradwell Way, Belper, Derbyshire DE56 1NJ (GB). PRICE, David [GB/GB]; 19 Ambervale Close, Littleover, Derbyshire DE23 7YB (GB). FRIEND, Christopher, L. [GB/GB]; 37 Plantation Side, Bobbers Mill, Nottinghamshire NG7 5NR (GB). CARRICK, Virginia, A. [US/US]; 11500 Boxwood Circle, Chardon, OH 44024 (US). MORETON, David, J. [GB/GB]; Chevin Brae, Chevin Road, Milford, Belper, Derbyshire DE56 0QH (GB). DURHAM, John, D., [GB/GB]; 33 Nailers Way, Belper, Derbyshire DE56 0HT (GB). MCATEE, Rodney, J., [GB/GB]; 10 Maidwell Close, Belper, Derbyshire DE56 1TE (GB). SUTTON, Michael [GB/GB]; Rose Cottage, Whitelea Lane, Tansley, Matlock, Derbyshire DE4 5FL (GB).

- (74) Agents: MUNSON, Jeffrey, F. et al.; The Lubrizol Corporation, 29400 Lakeland Boulevard, Wickliffe, OH 44092-2298 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report: 24 February 2005

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: SULPHUR FREE COMPOSITION AND LUBRICANT COMPOSITION AND METHODS THEREOF

(57) Abstract: A composition comprises a sulphur free reaction product of: (a)(i) a hydrocarbyl substituted aromatic compound containing an acidic group selected from the group consisting of a carboxylic group, a hydroxyl group and mixtures thereof; and (a)(ii) an organic nitrogen-containing base reacted with the acidic group. The composition is obtained by a preparatory process and is useful in a method for lubricating an internal combustion engine to include where the lubricant has reduced levels of sulphur, phosphorus and sulphated ash.



al Application No

PCT/US2004/001442 A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C10M159/12 C10M145/20 C10M149/14 C10M129/54 C10M133/12 C10M133/14 C10M129/14 C10M133/02 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 C10M Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, PAJ, WPI Data C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. X US 5 688 751 A (CLEVELAND WILLIAM K S ET 1-6,8,9AL) 18 November 1997 (1997-11-18) 12,16-20 cited in the application claim 1; examples 4-6,18,20 χ US 5 827 805 A (ADAMS PAUL E ET AL) 1-5,9-2027 October 1998 (1998-10-27) column 9, line 65 - column 13, line 19; claims 31,37; examples 12-15,27,28 X US 5 330 666 A (HABEEB JACOB J) 1-6,8.919 July 1994 (1994-07-19) 11, 14-20 column 1, lines 41-44; claims 1-5 column 4, lines 3-21; examples 1,2 Further documents are listed in the continuation of box C. Patent family members are listed in annex. Special categories of cited documents: *T* later document published after the international filling date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invariance. *A* document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "O" document referring to an oral disclosure, use, exhibition or document published prior to the International filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 2 December 2004 12.04

Authorized officer

Kazemi, P

Form PCT/ISA/210 (second sheet) (January 2004)

European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Name and mailing address of the ISA

Internation No
PCT/US2004/001442

Category *	Citation of document, with indication, where appropriate, of the relevant passages	
	The dependence of the relevant passages	Relevant to claim No.
X	US 5 290 463 A (HABEEB JACOB J) 1 March 1994 (1994-03-01) the whole document column 1, lines 44-47; claims 1-12 column 3, line 64 - column 4, line 21; examples 1,2	1-6,8,9, 11,13-20
X	US 4 090 971 A (HOKE DONALD IRVIN) 23 May 1978 (1978-05-23) column 2, line 52 - column 5, line 10 column 10, lines 38-56 column 12, lines 11-26 column 15, lines 10-42; claims 1,4; examples 1-9,12,13	1-6,8-20
Х	US 3 362 801 A (FARERI ELIZABETH L ET AL) 9 January 1968 (1968-01-09) the whole document	1,3,6,8, 9,16-18
X	US 5 089 158 A (VAN KRUCHTEN EUGENE M G A ET AL) 18 February 1992 (1992-02-18)	1-4,6,8, 9,11,12, 16-20
	column 4, line 42 - column 5, line 21; examples 1,2; tables 1-4	10-20
(WO 02/072529 A (LUBRIZOL CORP) 19 September 2002 (2002-09-19) page 1, lines 5-9 page 15, lines 22-29 page 20, line 24 - page 21, line 17; claim 1; example 2	1-9, 16-19
	GB 455 304 A (DU PONT) 19 October 1936 (1936-10-19) page 3, lines 17,18,58-70	1,6,16
	GB 1 121 681 A (LUBRIZOL CORP) 31 July 1968 (1968-07-31) page 1, lines 19-42; example 4	1,6,9, 11,16-20
	EP 0 727 477 A (BP CHEMICALS ADDITIVES) 21 August 1996 (1996-08-21) page 5, lines 23-41; examples	1-4,6, 9-20
	US 6 331 510 B1 (LAMB GORDON D ET AL) 18 December 2001 (2001-12-18) column 11, line 22 - column 12, line 15; claim 1	1-3,6,9, 16-20
	DD 264 446 A (LEUNA WERKE VEB) 1 February 1989 (1989-02-01)	1-3,6,9, 11,13,
	claims 1,4,7,9 	16-19

International application No. PCT/US2004/001442

Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This international Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
1. X As all required additional search fees were timely paid by the applicant, this international Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest. X No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-5,6(part),7(part),8-20,21(part),22

A lubricant composition comprising a sulphur-free reaction product of an organic N-containing base and a single or multiaromatic compound having both a hydroxy and a carboxylic acid group (claim 6: alternatives a(i)(1) and (3))

2. claims: 1-5,6(part),7(part),9-20,21(part),22

A lubricant composition comprising a sulphur-free reaction product of an organic N-containing base and a single or multiaromatic compound having both an amino and a carboxylic acid group (claim 6: alternatives a(1)(2) and (4))

3. claims: 1-5,6(part),9-20,22

A lubricant composition comprising a sulphur-free reaction product of an organic N-containing base and condensed phenol (claim 6: alternative a(i)(5))

intermation on patent family members

Internation No PCT/US2004/001442

						FC1/U3Z	004/001442
	Patent document ed in search report		Publication date		Patent family member(s)		Publication date
US	5 5688751	A	18-11-1997	DE	6971736	1 D1	00_01_0000
				DE	6971736		09-01-2003
				EP			04-09-2003
					082414		18-02-1998
		:		JP	1008816	Б А	07-04-1998
US	5827805 	A	27-10-1998	NONE			~~~~~~~~
US	5330666	Α	19-07-1994	CA	2156608	3 A1	01-09-1994
				DE	69403322		26-06-1997
				DE	69403322	772	16-10-1997
				WO	9419434		
				ĒΡ			01-09-1994
					0684978		06-12-1995
				JP	9504040) T	22-04-1997
US	5290463	Α	01-03-1994	CA	2156606	 5 A1	01-09-1994
				DE	69404447	/ D1	28-08-1997
				DE	69404447	' T2	
				WO	9419437		15-01-1998
				EP			01-09-1994
				JP	0684979		06-12-1995
				UF 	9502422	: :=======	11-03-1997
	4090971 	Α	23-05-1978	NONE			
US	3362801	Α	09-01-1968	NONE			
US	5089158	A	18-02-1992	GB	2235695	Α	13-03-1991
WO	02072529	Α	19-09-2002	US	6596038	R1	22-07-2003
				CA	2440202		19-09-2002
				EP	1368447		
				JP			10-12-2003
					2004532297		21-10-2004
				WO	02072529		19-09-2002
				US	2004123514	A1	01-07-2004
GB 	455304	A	19-10-1936	US	2066173	Α	29-12-1936
GB	1121681	Α	31-07-1968	DE	1594469	A1	04-02-1971
				FR	1457520		24-01-1966
EP	0727477	A	21-08-1996	DE -	60610000		
Li		^	51-00-1330	DE	69612026		19-04-2001
				DE	69612026		18-10-2001
				ΕP	0727477		21-08-1996
				JP	8245474	Α	24-09-1996
				US	5780403		14-07-1998
LIS	6331510	B1	18-12-2001		0.407.60		
US (2331310	DI	10-17-7001	CA	2437624		22-08-2002
				EP	1362088		19-11-2003
				JP	2004524402		12-08-2004
				WO	02064709		22-08-2002
				US	2002151445		17-10-2002
עט .	264446	Α	01-02-1989	DD	264446	A1	01-02-1989